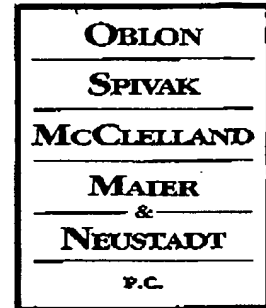


DEC. 4. 2006 3:16PM OBLON SPIVAK

NO. 357 P. 16



December 4, 2006

UNITED STATES PATENT AND TRADEMARK OFFICE  
2051 Jamieson Avenue  
Suite 300  
Alexandria, VA

ATTORNEYS AT LAW  
KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Attn: Refund Department

Re: American Express Account

Dear Sir or Madame:

Enclosed is a copy of the Transmittal Coversheet which was included with the October 19, 2006 Amendment filed against serial number 10/519,557.

The October Amendment added one Additional Claim and authorized the PTO to charge the \$50.00 fee to our American Epress Card. The Office inadvertently charged \$600.00 under fee code 1605 to our credit card instead of \$50.00 under fee code 1615. The fee charged to our American Express account was an error and should be refunded.

Please investigate this charge and kindly refund ~~\$550.00~~ to deposit account number 150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Scott Lohr at (703) 412-6472. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Scott Lohr

Enclosures:

1940 DUKE STREET ■ ALEXANDRIA, VIRGINIA 22314 ■ U.S.A.  
TELEPHONE: 703-413-3000 ■ FACSIMILE: 703-413-2220 ■ WWW.OBLON.COM

PAGE 16/23 \* RCVD AT 12/4/2006 3:10:27 PM [Eastern Standard Time] \* SVR:USPTO-EFAXF-1/8 \* DNIS:2736500 \* CSID:703 413 2220 \* DURATION (mm:ss):05:10

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DEC. 4. 2006 3:16PM OBLON SPIVAK

NO. 357 P. 17

IAP15 Rec'd PCT/PTO 19 OCT 2006  
PCT \$



Docket No. 262605US0X PCT  
IN RE APPLICATION OF: Oliver MOELLER, et al.  
SERIAL NO: 10/519,557  
FILED: December 28, 2004  
FOR: METHOD FOR PRODUCING ALDEHYDES BY MEANS OF HYDROFORMYLATION OF OLEFINICALLY  
UNSATURATED COMPOUNDS, SAID HYDROFORMYLATION BEING CATALYZED BY UNMODIFIED  
METAL COMPLEXES IN THE PRESENCE OF CYCLIC CARBONIC ESTERS

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ No additional fee is required  
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.  
☒ Additional documents filed herewith: Declaration Under 37 CFR 1.132 (Dr. Alfred KALZIK, executed, 2 pages)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	21	MINUS	20	1	x \$50 =	\$50.00
INDEPENDENT	2	MINUS	3	0	x \$200 =	\$0.00
APPLICATION SIZE		MINUS	100	0 (each addtl. 50 sheets)	x \$250 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$360 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$50.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		TOTAL				\$50.00

- ☐ A check in the amount of \$0.00 is attached.  
☒ Credit card payment form is attached to cover the fees in the amount of \$50.00  
☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.  
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

10/24/2006 LLAUDGRA 00000066 10519557

01 FC:1605

600.00 UP

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMWN 05/03)

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman B. Oblon

Harris A. Pitlick

Registration No. 38,779

DEC. 4. 2006 3:17PM

OBLON SPIVAK

NO. 357 P. 18

10/519557

PATENT APPLICATION SERIAL NO.

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/06/2005 GFREY1 00000083 10519557

01 FC:1631  
02 FC:1632  
03 FC:1633

300.00 DP  
300.00 DP  
200.00 DP

06/10/2005 AJOHNS02 00000012 10519557

01 FC:1642

400.00 DP

02 FC:1632

-500.00 DP

PTO-1556  
(5/87)

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U.S. Government Printing Office 2002-489-267/60033

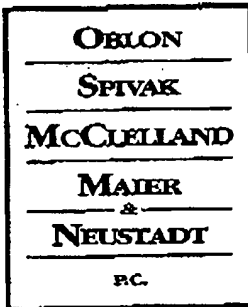
PAGE 18/23 \* RCVD AT 12/4/2006 3:10:27 PM [Eastern Standard Time] \* SVR:USPTO-EFXXRF-1/8 \* DNIS:2736500 \* CSID:703 413 2220 \* DURATION (mm:ss):05:10

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OBLON SPIVAK

NO. 357 P. 15



ATTORNEYS AT LAW

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USA

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(703) 413-2220 FACSIMILE

OBLONPAT@OBLON.COM

PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION

WWW.OBLON.COM

### FACSIMILE

PLEASE CALL US AT (703) 413-3000 IF THE MESSAGE YOU RECEIVE IS INCOMPLETE OR NOT LEGIBLE

TO	Office of Finance	DATE	12/4/2006
	NAME		
	USPTO		571-273-6500
	COMPANY/FIRM	FAX #	
	4		
	NUMBER OF PAGES INCLUDING COVER:	CONFIRM FAX:	<input type="checkbox"/> YES <input type="checkbox"/> NO
FROM	Scott Lohr	OUR REFERENCE	
	NAME		
	703-412-6472	YOUR REFERENCE	
	DIRECT PHONE#		

### MESSAGE

Unless otherwise indicated or obvious from the nature of the transmittal, the information contained in this facsimile message is attorney privileged and confidential information intended for the use of the individual or entity named above. If the reader of this message is not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error or are not sure whether it is privileged, please immediately notify us by telephone and return the original message to us at the above address via the U.S. Postal Service at our Expense. Thank You.



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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 18, 2007

C. IRVIN MCCLELLAND  
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314  
US

Dear Sir/Madam,

Your refund request for 10519557 in the amount of \$550.00 has been denied .

Code 1605 belongs to the Groups. aj

Sincerely,

A handwritten signature in cursive script, appearing to read "Rita White", is written over the printed name.

RITA WHITE  
PCT - National  
703 308-9140 x231